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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,560	02/20/2002	Michael Ely	ASPTP001

28436  
CARY & KELLY, LLP  
1875 CHARLESTON ROAD  
MOUNTAIN VIEW, CA 94043

CONFIRMATION NO. 1990

FORMALITIES LETTER



Date Mailed: 03/20/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice MUST be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



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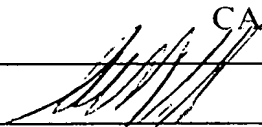
Please type a plus sign (+) inside this box → ☒

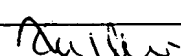
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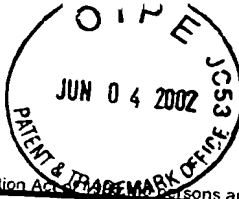
<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/081,560
	Filing Date	Feb 20, 2002
	First Named Inventor	Michael Ely
	Group Art Unit	2161
	Examiner Name	N/A
Total Number of Pages in This Submission	Attorney Docket Number	ASPTP001

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers # (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition #	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1-One check of \$130.00
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2- Executed Declaration and Power of Attorney 6 pages (2 sets @ 3pages/per)
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input checked="" type="checkbox"/> Return Receipt Postcard	
<input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	CARY & KELLY, LLP
Signature	 Charles C. Cary Reg. #: 36,764
Date	May 14, 2002

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: May 14, 2002	
Typed or printed name	Susan W. Xu
Signature	 Date May 14, 2002

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

130

## Complete if Known

Application Number	10/081,560
Filing Date	Feb 20, 2002
First Named Inventor	Michael ELY
Examiner Name	N/A
Group Art Unit	2161
Attorney Docket No.	ASPTP001

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
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Number  
Deposit  
Account  
Name

50-1338

CARY & KELLY, LLP

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)					
101 740	201 370					Utility filing fee
106 330	206 165					Design filing fee
107 510	207 255					Plant filing fee
108 740	208 370					Reissue filing fee
114 160	214 80					Provisional filing fee

Fee Paid

\$0

\$0

SUBTOTAL (1) (\$)

0

### 2. EXTRA CLAIM FEES

Total Claims  Extra Claims  Fee from below  Fee Paid   
Independent Claims  -20 = -20 x \$18 = \$0  
Multiple Dependent Claims  -3 = -3 x \$84 = \$0  
 = \$0

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)					
103 18	203 9					Claims in excess of 20
102 84	202 42					Independent claims in excess of 3
104 280	204 140					Multiple dependent claim, if not paid
109 84	209 42					** Reissue independent claims over original patent
110 18	210 9					** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

0

\*or number previously paid, if greater: For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)					
105 130	205 65					Surcharge - late filing fee or oath
127 50	227 25					Surcharge - late provisional filing fee or cover sheet
139 130	139 130					Non-English specification
147 2.520	147 2.520					For filing a request for ex parte reexamination
112 920*	112 920*					Requesting publication of SIR prior to Examiner action
113 1.840*	113 1.840*					Requesting publication of SIR after Examiner action
115 110	215 55					Extension for reply within first month
116 400	216 200					Extension for reply within second month
117 920	217 460					Extension for reply within third month
118 1.440	218 720					Extension for reply within fourth month
128 1.960	228 980					Extension for reply within fifth month
119 320	219 160					Notice of Appeal
120 320	220 160					Filing a brief in support of an appeal
121 280	221 140					Request for oral hearing
138 1.510	138 1.510					Petition to institute a public use proceeding
140 110	240 55					Petition to revive - unavoidable
141 1.280	241 640					Petition to revive - unintentional
142 1.280	242 640					Utility issue fee (or reissue)
143 460	243 230					Design issue fee
144 620	244 310					Plant issue fee
122 130	122 130					Petitions to the Commissioner
123 50	123 50					Petitions related to provisional applications
126 180	126 180					Submission of Information Disclosure Stmt
581 40	581 40					Recording each patent assignment per property (times number of properties)
146 740	246 370					Filing a submission after final rejection (37 CFR § 1.129(a))
149 740	249 370					For each additional invention to be examined (37 CFR § 1.129(b))
179 740	279 370					Request for Continued Examination (RCE)
169 900	169 900					Request for expedited examination of a design application

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130

## SUBMITTED BY

Name (Print/Type)

Charles C. Cary

Signature

Registration No.  
Attorney/Agent

36.764

Complete (if applicable)

Telephone

(650) 316-4011

Date

May 14, 2002

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